























#### **CORE MATERIAL**

>The core material is defined as the specific material to be

#### coated.

- >The core material can be in liquid or solid in nature.
- $\succ$  The composition of the core material can be varied

-as the liquid core can include dispersed and/or dissolved

material.

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➤The solid core can be single solid substance or mixture of active constituents, stabilizers, diluents, excipients and releaserate retardants or accelerators.













# Morphology of Microcapsules

The morphology of microcapsules depends mainly on the core material and the deposition process of the shell. 1- Mononuclear (core-shell) microcapsules contain the shell around

- the core.2- Polynuclear capsules have many cores enclosed within the shell.3- Matrix encapsulation in which the core material is distributed
- bomogeneously into the shell material.

- In addition to these three basic morphologies, microcapsules can also be mononuclear with multiple shells, or they may form clusters of

microcapsules.



# **RELEASE MECHANISMS**

the isolation of the core from its surrounding, the wall must

be ruptured at the time of use.

> A variety of release mechanisms have been proposed for microcapsules :





# **METHODS OF PREPARATION**

Preparation of microspheres should satisfy certain

criteria:

 $\succ$  The ability to incorporate reasonably high concentrations of

the drug.

Stability of the preparation after synthesis with a clinically

acceptable shelf life.

- > Controlled particle size and dispersability in aqueous
- vehicles for injection.

>Release of active reagent with a good control over a wide time scale.

 $\blacktriangleright$  Biocompatibility with a controllable biodegradability.

Susceptibility to chemical modification.

# **MICROENCAPSULATION METHODS**

> Air suspension

- $\succ$  Coacervation phase separation
- > Multiorifice-centrifugal process
- ➢ Spray drying and congealing

➤ Pan coating

- > Solvent evaporation techniques
- ≻Electrostatic deposition
- ≻Vaccum deposition
- Polymerization

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Microencapsulation process	Nature of the Core material	Approximate particle size(µm)
Air suspension	Solids	35-5000*
Coacervation and phase separation	Solids and Liquids	2-5000*
Multi orifice centrifugation	Solids and Liquids	1-5000*
Pan coating	Solids	600-5000*
Spray drying and congealing	Solids and Liquids	600
Solvent evaporation	Solids and Liquids	5-5000*

Chemical processes	Physico-chemical processes	Physico-Mechanical process
Interfacial polymerization In situ polymerization Poly condensation	Coacervation and phase separation Sol-gel encapsulation Supercritical CO2 assisted microencapsulation	Spray drying and congealing Fluid bed coating Pan coating Solvent evaporation

# AIR SUSPENSION:

- $\succ$  solid, particulate core materials are dispersed in a supporting
- air stream.
- > The coating material is sprayed on the air suspended

particles.

>Within the coating chamber, particles are suspended on an

upward moving air stream.











>Drying rates are directly related to the volume temperature of the supporting air stream.

COACERVATION PHASE SEPARATION

Microencapsulation by coacervation phase separation is generally attributed to The National Cash Register (NCR) Corporation and the patents of B.K. Green et al.  $\blacktriangleright$  The term originated from the Latin <code>>acervus<</code> , meaning

"heap".

 $\succ$  This was the first reported process to be adapted for the

industrial production of microcapsules.

 $\succ$  Currently, two methods for coacervation are available, namely

simple and complex processes.

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- The process consists of three steps:
- Formation of three immiscible phases;

solvent.

- ■a core material phase.
- ■a coating material phase.
- Deposition of the coating material on the core

material.

- $\blacktriangleright$  Rigidizing the coating usually by thermal, cross linking or
- solvation techniques to form a microcapsule.

 $\succ$  The core material is dispersed in a solution of the coating

polymer.

>The coating material phase, an immiscible polymer in liquid

state is formed by

(i) changing temperature of polymer solution

(ii) addition of salt,

e.g. addition of sodium sulphate solution to gelatine solution in

vitamin encapsulation,

(iii) addition of nonsolvent, e.g. addition of isopropyl ether to methyl ethyl ketone solution of cellulose acetate butyrate
(methylscopalamine hydrobromide is core),
(iv) addition of incompatible polymer to the polymer solution, e.g. addition of polybutadiene to the solution of ethylcellulose in toluene (methylene blue as core material),
(v) inducing polymer – polymer interaction, e.g. interaction of

gum Arabic and gelatine at their iso-electric point.

>Second step, includes deposition of liquid polymer upon the

core material.

>Finally, the prepared microcapsules are stabilized by

crosslinking, desolvation or thermal treatment.

≻Crosslinking is the formation of chemical links between

molecular chains to form a three-dimensional network of

connected molecules.

>The vulcanization of rubber using elemental sulfur is an

example of crosslinking, converting raw rubber from a weak

plastic to a highly resilient elastomer.

Chitosan served as an effective cross-linker at pH 7.0, while

polyethylenimine (PEI) was used as cross-linker under basic conditions (pH 10.5).





# Polymer Encapsulation by Rapid Expansion of Supercritical Fluids Supercritical fluids are highly compressed gasses that possess several advantageous properties of both liquids and gases. The most widely used being supercritical carbon dioxide(CO<sub>2</sub>), alkanes (C<sub>2</sub>to C<sub>4</sub>), and nitrous oxide (N<sub>2</sub>O). A small change in temperature or pressure causes a large change in the density of supercritical fluids near the critical point.





# Rapid expansion of supercritical solution

≻Supercritical fluid containing the active ingredient and the shell material are maintained at high pressure and then released at atmospheric pressure through a small nozzle.

 $\succ$  The sudden drop in pressure causes desolvation of the shell

material, which is then deposited around the active ingredient (core) and forms a coating layer.

The disadvantage of this process is that both the active ingredient and the shell material must be very soluble in supercritical fluids.
In general, very few polymers with low cohesive energy densities (e.g., polydimethylsiloxanes, polymethacrylates) are soluble in supercritical fluids such as CO2.

>The solubility of polymers can be enhanced by using

co-solvents.

>In some cases nonsolvents are used; this increases the solubility

in supercritical fluids, but the shell materials do not dissolve at

atmospheric pressure.





This process is also called supercritical fluid anti-solvent (SAS).
Supercritical fluid is added to a solution of shell material and the active ingredients and maintained at high pressure.
This leads to a volume expansion of the solution that causes super saturation such that precipitation of the solute occurs.
The solute must be soluble in the liquid solvent, but should not bissolve in the mixture of solvent and supercritical fluid.



>Upon releasing the pressure, the shell material is allowed to

deposit onto the active ingredient.

>In this process, the core and shell materials may not be soluble in the supercritical fluid.

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# **MULTIORIFICE-CENTRIFUGAL PROCESS**

- >The Southwest Research Institute (SWRI) has developed this method.
- $\succ$  It is a mechanical process for producing microcapsules.
- $\succ$  centrifugal forces are used to hurl a core material particle through

an enveloping microencapsulation membrane.



➢Processing variables include:

•the rotational speed of the cylinder,

•the flow rate of the core and coating materials,

•the concentration, viscosity, surface tension of the core

material.

>The multiorifice-centrifugal process is capable for

microencapsulating liquids and solids of varied size ranges,

- with diverse coating materials.
- ≻The encapsulated product can be supplied as
  - slurry in the hardening media
  - dry powder.
- ≻Production rates of 50 to 75 pounds per hour.

# PAN COATING

>suitable for relatively large particles.

≻solid particles greater than 600 microns in size are generally

coated by pan coating.

> extensively employed for the Preparation of controlled release beads.

>Medicaments are usually coated onto various spherical

substrates such as sugar seeds and the coated with

protective layers of various polymers.

 $\succ$  The coating is applied as a solution or as an atomized

spray to the desired solid core material in the coating pan.

Usually, to remove the coating solvent, warm air is passed over the coated materials as the coatings are being applied in the coating pans.
In some cases, final solvent removal is accomplished in drying oven.





# CO EXTRUSION

1- A dual fluid stream of liquid core and shell materials is pumped through concentric tubes and forms droplets under the influence of vibration.

2-The shell is then hardened by chemical cross linkings, cooling, or solvent evaporation.

- Different types of extrusion nozzles have been developed in order to optimize the process





# SPRAY DRYING AND SPRAY CONGEALING

≻both process involve

-Dispersing the core material in a liquefied coating

Substance/spraying or introducing the coating mixture on to core material.

-solidification of coating material

> The principal difference between the two methods, is the means

by which coating solidification is accomplished.

Coating solidification in spray drying is effected by rapid
 evaporation of a solvent in which the coating material is
 dissolved.
 Coating solidification in spray congealing method is

accomplished by

-thermally congealing a molten coating material or

-by solidifying a dissolved coating by introducing the coating

core material mixture into a nonsolvent.

>Removal of the nonsolvent or solvent from the coated

product is then accomplished by sorption extraction or

evaporation techniques.

>Microencapsulation by spray-drying is a low-cost commercial process.

 $\succ$  Mostly used for the encapsulation of fragrances, oils and flavours.

>Core particles are dispersed in a polymer solution and sprayed into a hot chamber.

 $\succ$  The shell material solidifies onto the core particles as the solvent

evaporates such that the microcapsules obtained are of polynuclear

or matrix type.

Chitosan microspheres cross-linked with three different cross-linking agents viz,
 -tripolyphosphate (TPP),
 -formaldehyde (FA)
 -gluteraldehyde (GA) have been prepared by spray drying technique.
 The influence of these cross-linking agents on the properties of spray dried chitosan microspheres was extensively investigated.



Spray dried chitosan microspheres cross-linked with TPP exhibited higher swelling capacity, % water uptake, % erosion and drug release rate at both the cross-linking extent (1 and 2%w/w) when compared to those cross-linked with FA and GA.

≻The sphericity and surface smoothness of the spray dried chitosan microspheres was lost when the cross-linking extent was increased from 1 to 2% w/w.

 Release rate of the drug from spray dried chitosan microspheres decreased when the cross-linking extent was increased from 1 to 2%w/w.
 The physical state of the drug in chitosan-TPP, chitosan-FA and chitosan-GA matrices was confirmed by the X-ray diffraction (XRD) study and found that the drug remains in a crystalline state even after its encapsulation.

≻ Release of the drug from chitosan-TPP, chitosan-FA and chitosan-GA matrices followed Fick's law of diffusion.

- Spray congealing can be done by spray drying equipment where
- protective coating will be applied as a melt.
- ≻Core material is dispersed in a coating material melt rather than a coating solution.
- ≻Coating solidification is accomplished by spraying the hot mixture into cool air stream.

≻Waxes, fatty acids, and alcohols, polymers which are solids at room temperature but meltable at reasonable temperature are applicable to spray congealing.











Solvent evaporation techniques are carried out in a liquid

manufacturing vehicle (O/W emulsion) which is prepared by

agitation of two immiscible liquids.

 $\succ$  The process involves dissolving microcapsule coating

(polymer) in a volatile solvent which is immiscible with the

liquid manufacturing vehicle phase.

 $\blacktriangleright$  A core material (drug) to be microencapsulated is dissolved or

dispersed in the coating polymer solution.





dispersions, Evaporation rate of the solvent for the coating

polymer, temperature cycles and agitation rates.

> Important factors that must be considered in solvent evaporation techniques include choice of
-vehicle phase and
-solvent for the polymer coating.
> These choice greatly influence microcapsule properties as well as the choice of solvent recovery techniques.
> The solvent evaporation technique is applicable to a wide variety of liquid and solid core materials.

 $\succ$  The core materials may be either water soluble or water

insoluble materials.

>A variety of film forming polymers can be used as coatings.

# ELECTROSTATIC DEPOSITION

 $\succ$  This method is suitable for both solid and liquid droplets

≻Core and coating material are imparted electric charges by means of high voltage.

≻Core is charged and placed in coating chamber.

>Coating material is charged in solution when it leaves the atomizer device prior to spray as a mist.

>Since both are oppositely charged coating material gets deposited on core due to electrostatic attraction.

#### VACCUM DEPOSITION

≻This is not a popular technique.

 $\succ$ Coating material is vapourised in chamber in which core material

is present.

≻Coating material gets deposited on core particles.

>Core particles are moved on conveyor system and they encounter

hot vapours of coating material Which gets deposited on them

# POLYMERIZATION

A relatively new microencapsulation method utilizes

polymerization techniques to form protective microcapsule.

 $\succ$  The methods involve the reaction of monomeric units

located at the interface existing between a core material

substance and a continuous phase in which the core material is dispersed.



 $\succ$  The multifunctional monomer dissolved in liquid core

material

- it will be dispersed in aqueous phase containing dispersing agent.
- > A coreactant multifunctional amine will be added to the

mixture.

- > This results in rapid polymerization at interface and
- generation of capsuleshell takes place.

> A polyurea shell will be formed when isocyanate reacts with amine,

 $\succ$  polynylon or polyamide shell will be formed when acid

chloride reacts with amine.

 $\succ$  When isocyanate reacts with hydroxyl containing

monomer produces polyurethane shell.

#### In situ polymerization

Like IFP the capsule shell formation occurs because of

polymerization of monomers.

>In this process no reactive agents are added to the core material.

 $\succ$  polymerization occurs exclusively in the continuous phase and on

the continuous phase side of the interface formed by the dispersed

core material and continuous phase.

>Initially a low molecular weight prepolymer will be formed,

as time goes on the prepolymer grows in size.

> it deposits on the surface of the dispersed core material there

by generating solid capsule shell.

# APPLICATIONS OF MICROENCAPSULATION

The technology has been used widely in the design of controlled

release and sustained release dosage forms.

≻To mask the bitter taste of drugs like Paracetamol,

Nitrofurantoin etc.

≻to reduce gastric and other G.I. tract irritations.

Sustained release Aspirin preparations have been reported to cause significantly less G.I. bleeding than conventional preparations.
A liquid can be converted to a pseudo-solid for easy handling and storage. eg.Eprazinone.

> Hygroscopic properties of core materials may be reduced by

microencapsulation e.g. Sodium chloride.

 $\succ Carbon$  tetra chlorides and a number of other substances have

been microencapsulated to reduce their odour and volatility.

 $\succ$  Microencapsulation has been employed to provide protection to

the core materials against atmospheric effects, e.g.Vit.A.Palmitate.

Separation of incompatible substance has been achieved by encapsulation.

# PHYSICOCHEMICAL EVALUATION

#### CHARACTERIZATION:

 $\succ$ The characterization of the microparticulate carrier is

important, which helps to design a suitable carrier for the

proteins, drug or antigen delivery.

> These microspheres have different microstructures.

>These microstructures determine the release and the stability of

the carrier.

# SIEVE ANALYSIS

>Separation of the microspheres into various size fractions can be determined by using a mechanical sieve shaker.

A series of five standard stainless steel sieves (20, 30, 45, 60 and

80 mesh) are arranged in the order of decreasing aperture size.

>Five grams of drug loaded microspheres are placed on the uppermost sieve.

 $\succ$  The sieves are shaken for a period of about 10 min, and then the

articles on the screen are weighed.





# ATOMIC FORCE MICROSCOPY (AFM)

A Multimode Atomic Force Microscope form Digital

Instrument is used to study the surface morphology of

the microspheres.



# PARTICLE SIZE

- ➢Particle size determination:
- ■approximately 30 mg microparticles is redispersed in 2–3 ml
- distilled water, containing 0.1% (m/m) Tween 20 for 3 min, using

ultrasound.

•then transferred into the small volume recirculating unit, operating at 60 ml/ s.

The microparticle size can be determined by laser diffractometry.





# POLYMER SOLUBILITY IN THE SOLVENTS

Solution turbidity is a strong indication of solvent power.
 The cloud point can be used for the determination of the solubility of the polymer in different organic solvents.

# VISCOSITY OF THE POLYMER SOLUTIONS

> The absolute viscosity, kinematic viscosity, and the intrinsic viscosity of the polymer solutions in different solvents can

be measured by a U-tube viscometer.

 $\blacktriangleright$  The polymer solutions are allowed to stand for 24 h prior to

measurement to ensure complete polymer dissolution.



# **DENSITY DETERMINATION**

- $\succ$  The density of the microspheres can be measured by using
- a multi volume pychnometer.
- $\blacktriangleright$  Accurately weighed sample in a cup is placed into the

multi volume pychnometer.

>Helium is introduced at a constant pressure in the chamber

and allowed to expand. This expansion results in a decrease

in pressure within the chamber.





# **BULK DENSITY**

 $\succ$  The microspheres fabricated are weighed and transferred to a

10-ml glass graduated cylinder.

 $\succ$  The cylinder is tapped until the microsphere bed volume is

stabilised.

 $\succ$  The bulk density is estimated by the ratio of microsphere

weight to the final volume of the tapped microsphere bed.

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# CAPTURE EFFICIENCY

 $\succ$  The capture efficiency of the microspheres or the percent

entrapment can be determined by allowing washed microspheres to lyse.

 $\blacktriangleright$  The lysate is then subjected to the determination of active

constituents as per monograph requirement.

>The percent encapsulation efficiency is calculated using equation:

% Entrapment = Actual content/Theoretical content x 100

# ANGLE OF CONTACT

>The angle of contact is measured to determine the wetting

property of a micro particulate carrier.

 $\succ$  To determine the nature of microspheres in terms of

hydrophilicity or hydrophobicity.

 $\succ$  This thermodynamic property is specific to solid and affected

by the presence of the adsorbed component.

The angle of contact is measured at the solid/air/water interface.
The advancing and receding angle of contact are measured by

placing a droplet in a circular cell mounted above objective of inverted microscope.

# **IN VITRO METHODS**

>There is a need for experimental methods which allow the

release characteristics and permeability of a drug through

membrane to be determined.

≻For this purpose, a number of *in vitro and in vivo* 

techniques have been reported.

>In vitro drug release studies are employed as a quality

control procedure in pharmaceutical production, in product

26 evelopment etc.

 The influence of technologically defined conditions and difficulty in simulating *in vivo conditions has led to* development of a number of *in vitro release* methods for buccal formulations; however no standard *in vitro method has yet been* developed.
 Different workers have used apparatus of varying designs and under varying conditions, depending on the shape and application of the dosage form developed

#### **BEAKER METHOD**

>The dosage form in this method is made to adhere at the bottom of the beaker containing the medium and stirred uniformly using over head stirrer.

➢ Volume of the medium used in the literature for the

-studies varies from 50- 500 ml

-stirrer speed form 60-300 rpm.

# **DISSOLUTION APPARATUS**

 $\succ Standard \, USP \, or \, BP$  dissolution apparatus have been

used to study in vitro release profiles.

>Dissolution medium used for the study varied from

 $100\mathchar`-500\mbox{ ml}$  and speed of rotation from  $50\mathchar`-100\mbox{ rpm}.$ 



# **ADVANTAGES**

- > Reliable means to deliver the drug to the target site with specificity.
- $\succ$  The desired concentration can be maintained at the site of interest without untoward effects .
- $\succ$  Solid biodegradable microspheres have the potential for the controlled release of drug.
- $\geq$  Microspheres received much attention for targeting of anticancer drugs to the tumour.

>The size, surface charge and surface hydrophilicity of microspheres are found to be important in determining the fate of particles *in vivo*.

> Studies on the macrophage uptake of microspheres have demonstrated their potential in targeting drugs to pathogens siding intracellularly.

# CONCLUSION

The microencapsulation technique offers a variety of opportunities such as

- ≻Protection.
- ➤Masking.
- ≻reduced dissolution rate.
- ➤ facilitation of handling.
- ≻targeting of the active ingredient.
- Facilitates accurate delivery of small quantities of potent drugs.
   >reduced drug concentrations at sites other than the target organ or tissue.

> protection of labile compounds before and after administration and prior to appearance at the site of action.

➢In future by combining various other approaches, microencapsulation technique will find the vital place in novel drug delivery system.

Drug / Core material	Characteristic property	Purpose of encapsulation	Final product form Tablet	
Actaminophen	Slightly water soluble solid	Taste masking		
Aspirin	Slightly water soluble solid	Taste masking, sustained release, reduced gastric irritation, separation of incompatibles	Tablet or capsule	
Islet of Langerhans	Viable cells	Sustained normalization of diabetic condition	Injectable	
Isosorbide dinitrate	Water soluble solid	Sustained release	Capsules	
Menthol	Volatile solution	Reduction of volatility, sustained release	Lotion	
Progesterone	Slightly water soluble solid	Sustained release	Varied	
Potassium chloride	Highly water soluble solid	Reduced gastric irritation	Capsule	
Urease	Water soluble enzyme	Permselectivity of enzyme, substrate, and reaction products.	Dispersion	
Vitamin A palmitate	Nonvolatile liquid	Stabilization to oxidation	Dry powder	

		MICRO	DENCAPSULAT	TON PROCES	SES AND THEIR	APPLICABI	LITES [29]	
ų	Method Name	Applicable Material	Particle Size	Production Scale	Process reproducibility and Consistency	Time required for preparation	Cost Factor	Operation Skill required
1	Air Suspenstion	Solids	35 - 5000	Pilot Scale	Moderate	High	High	High
2	Co - acervation and Phase Separation	Solids & Liquids	2 - 5000	Lab Scale	Good	Less	Less	Less
3	Multiorifice Centrifugal	Solids & Liquids	1 - 5000	Pilot Scale	Moderate	High	High	High
4	Pan Coating	Solids	600 - 5000	Pilot Scale	Moderate	High	High	High
5	Solvent Evaporation	Solids & Liquids	5 - 5000	Lab Scale	Good	Less	Less	Less
6	Spray Drying and Spray Congealing	Solids & Liquids	600	Pilot Scale	Moderate	High	High	High

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